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11060 U.S. PTO

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PTO/SB/05 (03-01)

Appr. Use through 10/31/2002. OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. NIIN-P02-010

First Inventor Mel Epstein

Title USE OF METHYLPHENIDATE COMPOUNDS TO
ENHANCE MEMORY

Express Mail Label No. EL920 874 696US

PTO
U.S.
10/087232

02/28/02

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **89**]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **11**]
5. Oath or Declaration [Total Pages **1**]
- a. ☒ ~~signed~~ **unsigned** (original or copy)
~~Newly Executed~~
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**FEES NOT PAID
AT THIS TIME**

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☒ Other: **Express mail declaration**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: **09, 941,238**

Prior application information:

Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label

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Name (Print/Type)

Matthew P. Vincent

Registration No. (Attorney/Agent)

36,709

Signature

Date

02/28/2002

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the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC
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Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Mel Epstein
Kjesten A. Wiig

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Docket No.: NIIN-P02-010

Appln. No. Not yet assigned

Filing Date: February 28, 2002

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For: USE OF METHYLPHENIDATE COMPOUNDS TO ENHANCE MEMORY

BOX PATENT APPLICATION

Commissioner for Patents
Washington, D.C. 20231

Certification of Express Mailing Under 37 CFR 1.10

I hereby certify that the enclosed patent application, consisting of:
Transmittal letter (1 p.); 74 pages of specification; 14 pages of claims; one page of abstract; 11
pages of drawings; (one) page of unexecuted declaration/power of attorney; Certification of
Express Mailing Under 37 CFR 1.10 (1 p.); and postpaid return postcard, are being deposited by
me with the United States Postal Service "Express Mail Post Office to Addressee" service,
Mailing Label No. **EL920 874 696US**, under 37 CFR 1.10 on the date indicated below and is
addressed to BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C.
20231.

February 28, 2002

Philip Fantasia

Printed name:

2003220-222001